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01/21/00  
jc644 U.S. PTO

PATENT APPLICATION  
Express Mail Label No. EL 436467348 US  
Attorney Docket No. SUN-P4061-JTF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT  
APPLICATION TRANSMITTAL LETTER

jc525 U.S. PTO  
09/489464  
01/21/00

Asst. Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Sir:

Enclosed for filing is an ☒ original patent application or, ☐ a continuation-in-part patent application, by inventor(s) William H. Connor, entitled METHOD AND APPARATUS FOR CONCURRENCY CONTROL IN A POLICY-BASED MANAGEMENT SYSTEM.

No. of pages in Specification: 18; No. of Claims: 26.

No. of Sheets of Drawings:      Formal: 4,      Informal: 0.

Also enclosed are:

- ☐ a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 in
- ☐ a separate document ☐ the declaration;
- ☐ a certified copy of the priority document;
- ☐ an Associate Power of Attorney;
- ☐     verified statement(s) claiming small entity status;
- ☒ a Combined Declaration and Power of Attorney of the inventors(s);
- ☐ a signed Combined Declaration and Power of Attorney of the inventors will follow;
- ☒ an Assignment document and form PTO-1595;
- ☐ a Power of Attorney by Assignee; and
- ☐ Information Disclosure Statement and Form PTO-1449.

The fee has been calculated as follows:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$690.00
Total Claims	26	MINUS 20 =	6	\$18.00=	\$108.00
Independent Claims	3	MINUS 3 =	0	\$78.00=	\$0.00
If multiple dependent claims are presented, add \$260.00					0
Total Application Fee					\$798.00
If verified statement claiming small entity status is enclosed, subtract 50% of Total Application Fee					
Add Recording Fee of \$40.00 if Assignment document is enclosed					\$40.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$838.00</b>

☒ A check in the amount of \$ 838.00 is enclosed.

☐ Application fee will follow with missing parts.

☒ Please deduct any underpayments or credit any overpayments to Deposit Account Number  
50-1003.

Please direct all correspondence concerning the above-identified application to the following  
address:

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**22835**

PATENT TRADEMARK OFFICE

Respectfully submitted,

By A. Richard Park  
A. Richard Park  
Registration No. 41,241

Date: January 21, 2000